

Statement of Deficiencies

1503.A.-C.: General Liability Insurance Policy

Not Met

1503.A.-C.: A. A center shall maintain in force at all times current commercial liability insurance for the operation of the center to ensure medical coverage for children in the event of accident or injury.

B. A center is responsible for payment of medical expenses of a child injured while in the center's care.

C. Documentation of commercial liability insurance shall consist of the insurance policy or current binder that includes the name of the early learning center, physical address of the center, name of the insurance company, policy number, period of coverage and explanation of the coverage.

Finding:

1503.A.-C. Based on record review on 9/30/19 at 3:13 pm, S1 lacked required documentation of current commercial liability insurance period of coverage as LS observed the effective date was 8/17/19 but the document did not have the last date of the center's insurance coverage for LS to review.

1507.A.: Daily Attendance Records - Children

Not Met

1507.A.: A daily attendance record for children shall be maintained that shall:

1. include the child's first and last name, arrival and departure times, and first and last name of person or entity to whom the child is released;
2. accurately reflect children on the center premises at any given time; and
3. be used to sign in and out if a child leaves and returns to the center during the day.

Finding:

1507.A. Based on record review/interview(s) on 8/30/19 at 1:50 pm. the center's daily attendance record for children did not accurately reflect the children on the child care premises at any given time as 7 children were present and 6 children were signed in on the log. S2 stated that C7 (age 7 mths) was not signed in. LS also observed another child's departure time was not documented as S2 stated that the child left the center at 7:45 am, and was unsure as to why the child was not sign out on the daily attendance log.

1507.B.: Daily Attendance Records - Staff and Owners

Not Met

1507.B.: A daily attendance record for all staff members and owners shall be maintained that shall:

1. include the first and last name of the staff member or owner and arrival and departure times;
2. accurately reflect the staff members and owners on the center premises at any given time; and
3. be used to document staff members and owners who leave and return to the center during the day

Finding:

1507.B. Based on record review on 8/30/19 at 12:37 pm, S1 did not maintain documentation of a daily attendance record for Staff and Owners, to include the time of arrival and departure as LS observed S3 failed to sign in on today's daily attendance log and S6 failed to sign out on today's daily attendance log.

1515.A.1.: Child Records and Cumulative Files

Not Met

1515.A.1.: A cumulative file shall be maintained on each child that shall include the following records:

1. An information form signed and dated by the parent and updated as changes occur, that contains:
 - a. name of child, date of birth, sex, date of admission;
 - b. name of parents and the home address of both child and parents;
 - c. phone numbers where parents may be reached while child is in care;
 - d. name and phone number of person to contact in an emergency if parents cannot be located promptly;
 - e. name and telephone number of child's physician, if applicable;
 - f. name and telephone number of the child's dentist, if applicable;
 - g. any special concerns, including but not limited to allergies, chronic illnesses, and any special needs of the child, if applicable;
 - h. any special dietary needs, restrictions or food allergies or intolerances, if applicable. See Paragraph 4;

Finding:

1515.A.1. Based on record review on 8/30/19 at 1:10 pm, 4 of 7 children's records reviewed lacked the required information on the Child's Information Form as the date of admission was omitted in C1, C2, C3, and C6 records for LS to review.

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1711.A.&B.&D.&G.: Child to Staff Ratio

Not Met

1711.A.&B.&D.&G.: A. Child to staff ratios are established to ensure the safety of all children.

B. Minimum child to staff ratios shall be met at all times.

1. There shall be a minimum of two staff members present at an early learning center when more than one child is present.
2. Only those staff members directly providing care, supervision or guidance to children shall be counted in the child to staff ratios.

D. Minimum Child to Staff Ratios for Type II and Type III centers:

Ages of Children	Ratio
Infants under 1 year	5:1
1 year	7:1
2 years	11:1
3 years	13:1
4 years	15:1
5 years	19:1
6 years and up	23:1

G. Mixed Age Groups - Minimum Child to Staff Ratios

1. An average of the child to staff ratios may be applied to mixed age groups of children ages 2, 3, 4 and 5
2. Child to staff ratios for children under age two are excluded from averaging.
3. When a mixed age group includes children younger than age two, the age of the youngest child determines the child to staff ratio for the group.
4. An average may be applied to a mixed age group consisting only of children ages 5 and older.

Finding:

1711.A.B.&D.G.3.: Based on observation/record review on 8/30/19 at 12:32pm, S1 failed to have at a minimum of 2 child care staff at the center when more than one child is present as well as meet the required child to staff ratio for children ages 7mths - 3yrs old as LS arrived to the center at 12:30 pm, and observed S2 arriving at the same time. Once LS and S2 walked in to the center, LS observed S3 was supervising (7) children (ages 7mths - 3yrs old). The required ratio for children of this age is 5 children per 1 staff person. LS observed there were no other staff members on the premise during the walk through of both buildings.

Based on the staff daily attendance log, S2 left the center at 11:25 am and arrived back to the center at 12:33 pm.

1717.A.: Independent Contractors Records

Not Met

1717.A.: Independent Contractors. The following information shall be maintained for all independent contractors, including but not limited to therapeutic professionals, extracurricular personnel, contracted transportation drivers, local school district staff, and departmental staff other than those responsible for inspecting centers:

1. an information form that includes the person's name, address and phone number
2. a list of duties performed while present at the center; and
3. documentation CCCBC-based determination of eligibility for child care purposes from the department or documentation of the adult staff member not otherwise counted in child to staff ratios that accompanied the contractor at all times while the contractor was at the center when children were present, to include the date, contractor arrival and departure time, language stating that the contractor was accompanied by the staff member at all times while at the center when children were present, and the signature of both the contractor and the accompanying staff member.

Finding:

1717.A. Based on record review on 8/30/19 at 1:21 pm, S1 did not have documentation on file for Independent Contractors that included person's name, address, phone number, list of duties performed while at the center as LS observed O1 was present at the center on 3/14/19 from 9:05 am to 10:10 am and S2 did not have this information readily available for LS to review. S2 also failed to provide this information for O2 as LS observed O2 was at the center on 3/25/19 from 10:00 am - 11:25 am.

1807.C.: CCCBC-Based Determinations of Eligibility for Visitors and Contractors

Not Met

1807.C.: C. An early learning center shall obtain a CCCBC-based determination of eligibility for child care purposes from the department for each visitor or independent contractor of any kind, and shall have documentation of said determination available at all times for inspection upon request by the licensing division, unless the visitor or independent contractor, other than therapeutic professionals as defined in §103, will be accompanied at all times while at the center when children are present, by an adult staff member who is not being counted in child-to-staff ratios. The center shall have documentation of said determination of eligibility, or documentation of the accompanying staff member, available at all times for inspection upon request by the Licensing Division.

Finding:

1807.C. Based on record review/interview(s) on 8/30/19 at 1:25 pm, a CCCBC-based determination of eligibility for child care purposes from the

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department was not obtained for O1 (CCNELA personnel) and O2 (Direct TV personnel), prior to the person(s) being present at the center or performing services as evidence by S2 stating she did not have this information readily available for O1 and O2. S1 did not have documentation of the paid, adult staff member not otherwise counted in child to staff ratios who accompanied O1 and O2 at all times while on the center premises as S2 stated she thought if O2 was outside on the premises, O2 did not need to be accompanied. Documentation did not include language stating that O1 and O2 were accompanied by the staff member at all times while on the premises as well as a signature of the staff member as LS did not observe this information documented on the center's visitor log.

1909.C.: Infants - Positioning Devices

Not Met

1909.C.: Infants shall not be placed in positioning devices, unless the center has written authorization from a physician to use a positioning device.

Finding:

1909.C. Based on observations/interview(s) on 8/30/19 at 12:39 pm, written authorization from a physician was not available for 1 of 1 infants to use a positioning device as LS observed (1) infant, C7, (age 7mths old) asleep in a rocker during the walk through of S3's classroom. S3 stated she was C7 mother and she did not have information from the child's physician documenting C7 could sleep in the rocker for LS to review.

1911.E.: Daily Reports for Infants

Not Met

1911.E.: Daily Reports for Infants. Written reports that include the liquid intake, food intake, disposition, bowel movements and eating and sleeping patterns shall be given to the parents of infants on a daily basis. Reports shall be kept current throughout the day.

Finding:

1911.E. Based on interview(s) on 8/30/19 at 12:40 pm, S1 lacked a daily written report for 1 of 1 infants as LS requested this information from S3 as LS observed S3 supervising 7 children (ages 7mths - 3yrs old) during the center walk through. S3 stated she did not complete this information for LS to review.

1919.C.: Food Allergies and Special Diets

Not Met

1919.C.: Information regarding food allergies and special diets of children shall be posted in the food preparation area with special care taken to ensure that individual names of children are not in public view. If a parent chooses to allow the center to post the child's name and allergy information in public view, the center shall obtain a signed and dated authorization from the parent.

Finding:

1919.C. Based on observations/interview(s)/record review on 8/30/19 at 1:54 pm, information regarding food allergies and special diets of children was not posted in the food preparation area as LS observed C1 had a food allergy during the center record review. LS requested to review C1's posted information in the center's kitchen area. S2 stated this information was not posted in the kitchen area for LS to review.

1921.E.: Tornado Drills

Not Met

1921.E.: Tornado drills shall be conducted at least once per month in the months of March, April, May, and June at various times of the day necessary to include all children and shall be documented.

Finding:

1921.E. Based on record review on 8/30/19 at 2:07 pm, S1 did not have documentation that tornado drills were conducted at various times of the day to include all children as LS observed the following times on the center's March, April, May, and June 2019 tornado drills:

3/8/19 at 9:32 am, included 8 children.

4/8/19 at 9:35 am, including 8 children.

5/8/19 at 8:45 am, including 8 children.

6/4/19 at 9:30 am, including 10 children.