

Statement of Deficiencies

713.A.: Office of Public Health, State Fire, City Fire Approval

Not Met

713.A.: Annual licensing inspections by the department, current approvals by the Office of Public Health, Office of State Fire Marshal, and city fire (if applicable), and academic approval by the department (if type III center) shall be required before the expiration of an existing license. However, if a center has documentation establishing that the center requested an inspection by the Office of Public Health or the Office of State Fire Marshal prior to the expiration of the existing license, these approvals may be submitted to the department within 90 calendar days of the date of the license renewal.

Finding:

713.A. Based on record review at 2:35 p.m., S2 failed to have documentation of a current annual inspection and approval from the Office of Public Health, State Fire Marshal, City Fire, and Academic Approval. S2 stated she believes the inspections were done, but doesn't see it in her email.

Corrective Action Plan: Effective 1/27/2023, S2 stated she create a reminder schedule to ensure she obtains all inspection reports and approvals prior to expiration dates to ensure compliance with the regulation.

1711.A.&B.&D.&G.: Child to Staff Ratio

Not Met

1711.A.&B.&D.&G.: A. Child to staff ratios are established to ensure the safety of all children.

- B. Minimum child to staff ratios shall be met at all times.
1. There shall be a minimum of two staff members present at an early learning center when more than four children are present.
 2. Only those staff members directly providing care, supervision or guidance to children shall be counted in the child to staff ratios.
 3. The department's form noting required child-to-staff ratios shall be posted in each room included in the center's licensed capacity.
 4. Minimum child-to-staff ratios for type II and type III centers are as follows.

Ages of Children	Ratio
Infants under 1 year	5:1
1 year	7:1
2 years	10:1
3 years	13:1
4 years	15:1
5 years	19:1
6 years and up	23:1

- G. Mixed Age Groups Minimum Child to Staff Ratios
1. An average of the child to staff ratios may be applied to mixed age groups of children ages two, three, four and five.
 2. Child to staff ratios for children under age two are excluded from averaging.
 3. When a mixed age group includes children younger than age two, the age of the youngest child determines the child to staff ratio for the group.
 4. An average may be applied to a mixed age group consisting only of children ages five and older.

Finding:

1711.B.1.&D. Based on observations at 1:47 p.m., S2 failed to have a minimum of two child care staff present at an early learning center when more than four children are present as one staff, S2, was supervising 11 children ages two-years-old to four-years-old. S2 stated S1 is on leave, and other staff are unable to come in today.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will continue to interview to hire new staff. S2 also stated she will turn children away at the door if she does not have adequate staffing to cover ratio to ensure compliance with the regulation.

1713.A.&B.&C.: Supervision

Not Met

1713.A.&B.&C.: A. Children shall be supervised at all times in the center, on the playground, on field trips, on non-vehicular excursions, and during all water activities and water play activities.

B. Children shall not be left alone in any room, (except the restroom as indicated in Subsection G of this Section or when being provided services by therapeutic professionals, as defined in §103), outdoors, or in vehicles, even momentarily, without staff present.

C. A staff person shall be assigned to supervise specific children whose names and whereabouts that staff person shall know and with whom the staff person shall be physically present. Staff shall be able to state how many children are in their care at all times.

Finding:

1713.B. Based on observations at 3:07 p.m., S2 failed to ensure children were properly supervised, as the Specialist observed 1 sleeping child was left alone in a classroom for approximately three minutes. Supervision was met at 3:18 p.m. when S2 brought the child to the adjacent classroom.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will keep the children in the same classroom, and will remove any obstructing objects from view to ensure compliance with the regulation.

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1713.E.&F.: Supervision Participation

Not Met

1713.E.&F.:

E: While supervising a group of children, staff shall devote their time to supervising the children, meeting the needs of the children, and participating with them in their activities.

F: Staff duties that include cooking, housekeeping or administrative functions shall not interfere with the supervision of children.

Finding:

1713.E.&F. Based on observations at 1:45 p.m., S2 failed to devote her time to supervising the children, meeting the needs of the children, and participating with them in their activities. Upon arrival, the Specialist observed S2 conducting housekeeping functions which interfered with the supervision of 11 children sleeping in two adjacent classrooms.

At 3:21 p.m., S2 failed to devote her time to supervising the children. S2 left 9 children unsupervised while preparing the afternoon snack in the adjacent kitchen. S2's view of the children was obstructed by the kitchen's half wall.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will prepare meals ahead of time, and will conduct housekeeping tasks at a later time to ensure compliance with the regulation.

1719.A.-C.: Orientation Training

Not Met

1719.A.-C.: A. Within seven calendar days of the first day present at the center, and prior to assuming sole responsibility for any children, each staff member shall receive center-specific orientation to the policies and practices of the center that at a minimum shall include information on the center:

1. child abuse identification and reporting, including phone numbers for mandatory reporting and suspected child abuse and neglect;
2. location of emergency exits and emergency preparedness plans;
3. handling of emergencies due to food/allergic reactions;
4. location of first-aid supplies;
5. list of children with allergies and special needs;
6. identification of critical staff including but not limited to staff trained in CPR and first aid and staff who can administer medicine;
7. child release policies and restrictions;
8. child-to-staff ratio policies;
9. daily schedules;
10. opening policy;
11. closing policy; and
12. transportation policy and vehicle inspection procedures.

B. Within seven calendar days of the first day present at the center, and prior to assuming sole responsibility for any children, each staff member shall complete the LDE Key Training Module 1 and the DCFS online Mandated Reporter Training. Key Training Module 1 shall at a minimum include information on the following:

1. general emergency preparedness, including natural disasters and man-caused events;
2. professionalism;
3. health and safety, which includes: daily observations, supervision regulations, daily attendance, child to staff ratios, improper discipline, prohibited discipline, prevention of shaken baby syndrome, prevention of abusive head trauma and child maltreatment, food safety, choking risks recognition and reporting of child abuse and neglect;
4. administration of medication consistent with standards for parental consent;
5. prevention and response to emergencies due to food and allergic reactions;
6. appropriate precautions in transporting children, if applicable;
7. public health policies, prevention and control of infectious diseases, including immunization information;
8. handling and storage of hazardous materials and the appropriate disposal of bio-contaminants;
9. pediatric first aid and cardiopulmonary resuscitation (CPR);
10. prevention of sudden infant death syndrome and use of safe sleep practices;
11. outdoor play practices;
12. environmental safety; and
13. building and physical premises safety, including identification of and protection from hazards, bodies of water and vehicular traffic;
14. child release practices; and
15. critical incident practices and licensing regulations

C. Within 30 calendar days of the first day present at the center and prior to assuming sole responsibility for any children, each staff member shall complete the LDE Key Orientation Training Modules 2 and 3, that at a minimum shall include information on the following:

1. child development;
2. child guidance;
3. learning activities;
4. health and safety; and
5. early learning development standards.

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Finding:

1719.B.&C. Based on record review at 2:03 p.m., S2 failed to have documentation that 2 of 2 new staff, S3 (DOH 10/6/22) and S4 (DOH 11/28/22), completed the LDE Key Training Module 1 and the DCFS online Mandated Reporter Training within seven calendar days of the first day present at the center. S2 also failed to have documentation that S3 and S4 completed the LDE Key Orientation Training Modules 2 and 3 within 30 calendar days of the first day present at the center. S2 stated she will have all staff take the required trainings by the end of next week.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will set reminders to make sure staff obtain the required trainings timely, to ensure compliance with the regulation.

1721.A.-C.: Continuing Education Training

Not Met

1721.A.-C.: A. Early learning centers shall provide opportunities for continuing education of staff members who are left alone with children, or who have supervisory or disciplinary authority over children.

1. Staff members of early learning centers, excluding foster grandparents, shall obtain a minimum of 12 clock hours of continuing education per center anniversary year.

B. Staff members of type I, type II and type III centers who are neither left alone with children, nor have supervisory or disciplinary authority over children, shall obtain a minimum of three clock hours of continuing education in job related topics per center's anniversary year.

C. Continuing education for all types of centers shall be conducted by trainers approved by the department. The department shall keep a registry of approved trainers.

Finding:

1721.A.-C. Based on record review at 2:21 p.m., S2 failed to have documentation that S1 and S2 obtained a minimum of 12 clock hours of training annually in the topics found in §1719(A) and (B). Copies of certificates of completion or attendance records were not maintained at the center and available for inspection by the department upon request. 0 staff have met the continuing education training requirement. S2 stated she has to check her email for training documents.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will set reminders to make sure staff obtain the required trainings timely, to ensure compliance with the regulation.

1727.A.: Child Neglect and Abuse Mandatory Reporter Training

Not Met

1727.A.: All staff members shall annually complete the online child abuse and neglect Mandated Reporter Training provided by DCFS.

Finding:

1727.A. Based on record review at 2:05 p.m., S2 failed to have documentation that 4 of 4 staff, S1, S2, S3, and S4, completed the online child abuse and neglect Mandated Reporter Training provided by DCFS. S2 stated she will have all staff take the training by the end of next week.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will set reminders to make sure staff obtain the required trainings timely, to ensure compliance with the regulation.

1907.D.1.: Bed Coverings

Not Met

1907.D.1.: A labeled sheet for covering the cot or mat and a labeled sheet or blanket for covering the child shall be provided by either the center or the parent, unless the cots or mats are covered with vinyl or another washable surface.

Finding:

1907.D.1. Based on observations at 1:47 p.m., S2 failed to have a labeled sheet or blanket for covering the child, that's provided by either the center or the parent for children. The Specialist observed four sleeping children on a nap mat without a sheet or blanket for covering the child.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will keep extra blankets at the center, in case parents forget to bring them, to ensure compliance with the regulation.

1907.E.2.: Cribs Free of Toys and Other Soft or Loose Bedding

Not Met

1907.E.2.: Cribs shall be free of toys and other soft or loose bedding, including comforters, blankets, sheets, bumper pads, pillows, stuffed animals and wedges when the child is in the crib.

Finding:

1907.E.2. Based on observations at 1:47 p.m., S2 failed to ensure the center's cribs were free of toys or other soft or loose bedding (including comforters, blankets, sheets, bumper pads, pillows, stuffed animals and wedges) while the child was in the crib. The Specialist observed a sleeping

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child in a crib with a loose blanket. Corrected at 1:48 p.m. when S2 removed the blanket out the crib.

Corrective Action Plan: Effective 1/27/2023, S2 stated she will not put a loose blanket in the crib with children to ensure compliance with the regulation.
